

In order to assist the government in fighting crimes that utilize the U.S. financial system, such as money laundering or terrorist financing, federal regulations require financial institutions collect detailed information about the individuals who own and control legal entity customers. This form must be completed by the person authorized to open an account or provide information on behalf of the legal entity. Individuals named on this form may be asked to provide a physical form or photocopy of unexpired government identification. An individual who is named as an Ultimate Beneficial Owner in Section B and also meets the definition of a Customer Level Controlling Party need only be named in Section B with the notation that the individual meets both definitions. M&T may request additional and/or updated information in the future, including more comprehensive ownership information.

DEFINITIONS



Ultimate Beneficial Owner 3

Name: _____ Date of Birth: _____

Address 1 (either Residential or Business): _____

Address 2: _____

Address 3: _____

City: _____ State: _____ Zip: _____ Country: _____

Citizenship: _____ Social Security Number (if U.S. Citizen): _____

Percentage of Ownership Interest (Leave Blank if Trustee): _____

Please check here if this individual is the trustee of a trust with at least a 25% ownership interest in the legal entity.

Name of the Trust: _____ % of Ownership of Trust: _____



Customer Level Controlling Party 4

Name: _____ Date of Birth: _____

Address 1 (either Residential or Business): _____

Address 2: _____

Address 3: _____

City: _____ State: _____ Zip: _____ Country: _____

Citizenship: _____ Social Security Number (if U.S. Citizen): _____

Role at Company: _____

For Bank Use Only		
Identification Type Presented: _____	Identification Number: _____	State (if U.S.)/ Country of Issuance: _____

SECTION D. CERTIFICATION

I, _____ (*name of natural person opening the account or providing the above information*), hereby certify, to the best of my knowledge, that the information provided above is complete and correct.

SIGNATURE: _____ DATE: _____